

# Supplementary Material for “Handling Polymorphic Algebraic Effects”

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## 1 Definition

### 1.1 Surface language

#### 1.1.1 Syntax

<b>Effect operations</b>	$\text{op}$
<b>Effects</b>	$\epsilon ::= \text{sets of effect operations}$
<b>Base types</b>	$\iota ::= \text{bool} \mid \text{int} \mid \perp \mid \dots$
<b>Type variables</b>	$\alpha, \beta, \gamma$
<b>Types</b>	$A, B, C, D ::= \alpha \mid \iota \mid A \rightarrow \epsilon B$
<b>Type schemes</b>	$\sigma ::= A \mid \forall \alpha. \sigma$
<b>Constants</b>	$c ::= \text{true} \mid \text{false} \mid 0 \mid + \mid \dots$
<b>Terms</b>	$M ::= x \mid c \mid \lambda x. M \mid M_1 M_2 \mid \text{let } x = M_1 \text{ in } M_2 \mid \#op(M) \mid \text{handle } M \text{ with } H \mid \text{resume } M$
<b>Handlers</b>	$H ::= \text{return } x \rightarrow M \mid H; \text{op}(x) \rightarrow M$
<b>Typing contexts</b>	$\Gamma ::= \emptyset \mid \Gamma, x : \sigma \mid \Gamma, \alpha$

**Convention 1.** We write  $\forall \boldsymbol{\alpha}^{i \in I}. A$  for  $\forall \alpha_1 \dots \forall \alpha_n. A$  where  $I = \{1, \dots, n\}$ . We often omit indices ( $i$  and  $j$ ) and index sets ( $I$  and  $J$ ) if they are not important: for example, we often abbreviate  $\forall \boldsymbol{\alpha}^{i \in I}. A$  to  $\forall \boldsymbol{\alpha}^I. A$  or even  $\forall \boldsymbol{\alpha}. A$ . Similarly, we use a bold font for other sequences ( $\mathbf{A}^{i \in I}$  for a sequence of types,  $\mathbf{v}^{i \in I}$  for a sequence of values, and so on). We sometimes write  $\{\boldsymbol{\alpha}\}$  to view the sequence  $\boldsymbol{\alpha}$  as a set by ignoring the order. We also write  $\forall \boldsymbol{\alpha}^I. \boldsymbol{\sigma}^J$  for a sequence  $\forall \boldsymbol{\alpha}^I. \sigma_{j_1}, \dots, \forall \boldsymbol{\alpha}^I. \sigma_{j_n}$  of type schemes (where  $J = \{j_1, \dots, j_n\}$ ).

**Definition 1** (Domain of typing contexts). We define  $\text{dom}(\Gamma)$  as follows.

$$\begin{aligned} \text{dom}(\Gamma, x : \sigma) &\stackrel{\text{def}}{=} \text{dom}(\Gamma) \cup \{x\} \\ \text{dom}(\Gamma, \alpha) &\stackrel{\text{def}}{=} \text{dom}(\Gamma) \cup \{\alpha\} \end{aligned}$$

**Definition 2** (Free type variables and type substitution in type schemes). Free type variables  $\text{ftv}(\sigma)$  in a type scheme  $\sigma$  and type substitution  $B[\mathbf{A}/\boldsymbol{\alpha}]$  of  $\mathbf{A}$  for type variables  $\boldsymbol{\alpha}$  in  $B$  are defined as usual.

**Assumption 1.** We suppose that each constant  $c$  is assigned a first-order closed type  $\text{ty}(c)$  of the form  $\iota_1 \rightarrow \langle \rangle \dots \rightarrow \langle \rangle \iota_n$  and that each effect operation  $\text{op}$  is assigned a signature of the form  $\forall \alpha.A \hookrightarrow B$ . We also assume that, for  $\text{ty}(\text{op}) = \forall \alpha.A \hookrightarrow B$ ,  $\text{ftv}(A) \subseteq \{\alpha\}$  and  $\text{ftv}(B) \subseteq \{\alpha\}$ .

Suppose that, for any  $\iota$ , there is a set  $K_\iota$  of constants of  $\iota$ . For any constant  $c$ ,  $\text{ty}(c) = \iota$  if and only if  $c \in K_\iota$ . The function  $\zeta$  gives a denotation to pairs of constants. In particular, for any constants  $c_1$  and  $c_2$ : (1)  $\zeta(c_1, c_2)$  is defined if and only if  $\text{ty}(c_1) = \iota \rightarrow \langle \rangle A$  and  $\text{ty}(c_2) = \iota$  for some  $A$ ; and (2) if  $\zeta(c_1, c_2)$  is defined,  $\zeta(c_1, c_2)$  is a constant and  $\text{ty}(\zeta(c_1, c_2)) = A$  where  $\text{ty}(c_1) = \iota \rightarrow \langle \rangle A$ .

### 1.1.2 Typing

**Definition 3** (Resumption type). We define resumption type  $R$  as follows.

$$R ::= \text{none} \mid (\alpha, x:A, B \rightarrow \epsilon C) \\ (\text{if } \text{ftv}(A) \cup \text{ftv}(B) \subseteq \{\alpha\} \text{ and } \text{ftv}(C) \cap \{\alpha\} = \emptyset)$$

**Definition 4** (Type scheme well-formedness). We write  $\Gamma \vdash \sigma$  if and only if  $\text{ftv}(\sigma) \subseteq \text{dom}(\Gamma)$ .

**Definition 5.** Judgments  $\vdash \Gamma$  and  $\Gamma; R \vdash M : A | \epsilon$  and  $\Gamma; R \vdash H : A | \epsilon \Rightarrow B | \epsilon'$  are the least relations satisfying the rules in Figure 1.

Term  $M$  is a well-typed program of  $A$  if and only if  $\emptyset; \text{none} \vdash M : A | \langle \rangle$ .

## 1.2 Intermediate language

### 1.2.1 Syntax

#### Values

$$v ::= c \mid \lambda x.e$$

#### Polymorphic values

$$w ::= v \mid \Lambda \alpha.w$$

#### Terms

$$e ::= x A \mid c \mid \lambda x.e \mid e_1 e_2 \mid \text{let } x = \Lambda \alpha.e_1 \text{ in } e_2 \mid \# \text{op}(A, e) \mid \# \text{op}(\sigma, w, E) \mid \text{handle } e \text{ with } h \mid \text{resume } \alpha x.e$$

#### Handlers

$$h ::= \text{return } x \rightarrow e \mid h; \Lambda \alpha.\text{op}(x) \rightarrow e$$

#### Evaluation contexts

$$E^{\alpha^I} ::= [] \text{ (if } \alpha^I = \emptyset) \mid E^{\alpha^I} e_2 \mid v_1 E^{\alpha^I} \mid \text{let } x = \Lambda \beta^{J_1}.E^{\gamma^{J_2}} \text{ in } e_2 \text{ (if } \alpha^I = \beta^{J_1}, \gamma^{J_2}) \mid \# \text{op}(A^J, E^{\alpha^I}) \mid \text{handle } E^{\alpha^I} \text{ with } h$$

#### Top-level typing contexts

$$\Delta ::= \emptyset \mid \Delta, \alpha$$

**Convention 2.** We write  $E$  for  $E^\alpha$  if  $\alpha$  is not important.

**Definition 6** (Free type variables). We write  $\text{ftv}(e)$  and  $\text{ftv}(E)$  for sets of type variables that occur free in  $e$  and  $E$ , respectively. The notion of free type variables is defined as usual.

**Definition 7** (Substitution). Substitution  $e[A/\alpha]$  of  $A$  for  $\alpha$  in  $e$  is defined in a capture-avoiding manner as usual. Substitution  $e[w/x]$  of polymorphic value  $w$  for variable  $x$  in  $e$  is also defined in a standard capture-avoiding manner: in particular,

$$(x A)[\Lambda \alpha.v/x] \stackrel{\text{def}}{=} v[A/\alpha]$$

Substitution  $e[E^{\beta^J}/\text{resume}]_{\Lambda \beta^J.v}^{\forall \beta^J.A^I}$  of continuation  $E^{\beta^J}$  for resumptions in  $e$  is defined in a capture-avoiding

## Well-formed rules for typing contexts

$$\boxed{\vdash \Gamma}$$

$$\frac{}{\vdash \emptyset} \text{WF\_EMPTY} \quad \frac{\vdash \Gamma \quad x \notin \text{dom}(\Gamma) \quad \Gamma \vdash \sigma}{\vdash \Gamma, x : \sigma} \text{WF\_VAR} \quad \frac{\vdash \Gamma \quad \alpha \notin \text{dom}(\Gamma)}{\vdash \Gamma, \alpha} \text{WF\_TYVAR}$$

## Typing rules

$$\boxed{\Gamma; R \vdash M : A | \epsilon}$$

$$\begin{array}{c} \frac{\vdash \Gamma \quad x : \forall \alpha. A \in \Gamma \quad \Gamma \vdash B}{\Gamma; R \vdash x : A[B/\alpha] | \epsilon} \text{TS\_VAR} \quad \frac{\vdash \Gamma}{\Gamma; R \vdash c : ty(c) | \epsilon} \text{TS\_CONST} \\ \\ \frac{\Gamma, x : A; R \vdash M : B | \epsilon' \quad \Gamma; R \vdash \lambda x. M : A \rightarrow \epsilon' B | \epsilon}{\Gamma; R \vdash \lambda x. M : A \rightarrow \epsilon' B | \epsilon} \text{TS\_ABS} \quad \frac{\Gamma; R \vdash M_1 : A \rightarrow \epsilon' B | \epsilon \quad \Gamma; R \vdash M_2 : A | \epsilon \quad \epsilon' \subseteq \epsilon}{\Gamma; R \vdash M_1 M_2 : B | \epsilon} \text{TS\_APP} \\ \\ \frac{ty(\text{op}) = \forall \alpha. A \hookrightarrow B \quad \text{op} \in \epsilon \quad \Gamma; R \vdash M : A[C/\alpha] | \epsilon \quad \Gamma \vdash C}{\Gamma; R \vdash \#\text{op}(M) : B[C/\alpha] | \epsilon} \text{TS\_OP} \\ \\ \frac{\Gamma, \alpha; R \vdash M_1 : A | \epsilon \quad \Gamma, x : \forall \alpha. A; R \vdash M_2 : B | \epsilon}{\Gamma; R \vdash \text{let } x = M_1 \text{ in } M_2 : B | \epsilon} \text{TS\_LET} \\ \\ \frac{\Gamma; R \vdash M : A | \epsilon' \quad \epsilon' \subseteq \epsilon}{\Gamma; R \vdash M : A | \epsilon} \text{TS\_WEAK} \quad \frac{\Gamma; R \vdash M : A | \epsilon \quad \Gamma; R \vdash H : A | \epsilon \Rightarrow B | \epsilon'}{\Gamma; R \vdash \text{handle } M \text{ with } H : B | \epsilon'} \text{TS\_HANDLE} \\ \\ \frac{\vdash \Gamma_1, x : D, \Gamma_2 \quad \alpha \in \Gamma_1 \quad \epsilon \subseteq \epsilon' \quad \Gamma_1, \Gamma_2, \beta, x : A[\beta/\alpha]; (\alpha, x : A, B \rightarrow \epsilon C) \vdash M : B[\beta/\alpha] | \epsilon'}{\Gamma_1, x : D, \Gamma_2; (\alpha, x : A, B \rightarrow \epsilon C) \vdash \text{resume } M : C | \epsilon'} \text{TS\_RESUME} \\ \\ \boxed{\Gamma; R \vdash H : A | \epsilon \Rightarrow B | \epsilon'} \\ \\ \frac{\Gamma, x : A; R \vdash M : B | \epsilon' \quad \epsilon \subseteq \epsilon'}{\Gamma; R \vdash \text{return } x \rightarrow M : A | \epsilon \Rightarrow B | \epsilon'} \text{THS\_RETURN} \\ \\ \frac{ty(\text{op}) = \forall \alpha. C \hookrightarrow D \quad \Gamma, \alpha, x : C; (\alpha, x : C, D \rightarrow \epsilon' B) \vdash M : B | \epsilon'}{\Gamma; R \vdash H; \text{op}(x) \rightarrow M : A | \epsilon \uplus \{\text{op}\} \Rightarrow B | \epsilon'} \text{THS\_OP} \end{array}$$

Figure 1: Typing rules in  $\lambda_{\text{eff}}^{\text{let}}$ .

manner, as follows (we describe only important cases).

$$\begin{aligned} (\text{resume } \alpha^I x. e)[E^{\beta^J} / \text{resume}]_{\Lambda \beta^J. v}^{\forall \beta^J. A^I} &\stackrel{\text{def}}{=} \\ \text{let } y = \Lambda \beta^J. e[E^{\beta^J} / \text{resume}]_{\Lambda \beta^J. v}^{\forall \beta^J. A^I} [A^I / \alpha^I][v/x] \text{ in } E^{\beta^J}[y \beta^J] \\ (\text{if } (ftv(e) \cup ftv(E^{\beta^J})) \cap \{\beta^J\} = \emptyset \text{ and } y \text{ is fresh}) \\ (\text{return } x \rightarrow e)[E / \text{resume}]_w^\sigma &\stackrel{\text{def}}{=} \text{return } x \rightarrow e[E / \text{resume}]_w^\sigma \\ (h'; \Lambda \alpha^J. \text{op}(x) \rightarrow e)[E / \text{resume}]_w^{\sigma^J} &\stackrel{\text{def}}{=} h'[E / \text{resume}]_w^{\sigma^J}; \Lambda \alpha^J. \text{op}(x) \rightarrow e \end{aligned}$$

**Definition 8** (Resumption type). We define resumption type  $r$  as follows.

$$r ::= \text{none} \mid (\alpha, A, B \rightarrow \epsilon C) \quad (\text{if } ftv(A) \cup ftv(B) \subseteq \{\alpha\} \text{ and } ftv(C) \cap \{\alpha\} = \emptyset)$$

We also define a set of type variables captured by a resume type:

$$\begin{array}{ll} \text{tyvars}(\text{none}) & \stackrel{\text{def}}{=} \emptyset \\ \text{tyvars}((\alpha, A, B \rightarrow \epsilon C)) & \stackrel{\text{def}}{=} \{\alpha\} \end{array}$$

Reduction rules

$$e_1 \rightsquigarrow e_2$$

$$\begin{array}{lll}
c_1 c_2 \rightsquigarrow \zeta(c_1, c_2) & (\text{R\_CONST}) & (\lambda x.e) v \rightsquigarrow e[v/x] \quad (\text{R\_BETA}) \\
\text{let } x = \Lambda \alpha.v \text{ in } e \rightsquigarrow e[\Lambda \alpha.v/x] & (\text{R\_LET}) & \begin{array}{l} \text{handle } v \text{ with } h \rightsquigarrow e[v/x] \\ (\text{where } h^{\text{return}} = \text{return } x \rightarrow e) \end{array} \quad (\text{R\_RETURN}) \\
\#\text{op}(\mathbf{A}, v) \rightsquigarrow \#\text{op}(\mathbf{A}, v, []) & (\text{R\_OP}) & \\
& & \#\text{op}(\sigma, w, E) e_2 \rightsquigarrow \#\text{op}(\sigma, w, E e_2) \quad (\text{R\_OPAPP1}) \\
& & v_1 \#\text{op}(\sigma, w, E) \rightsquigarrow \#\text{op}(\sigma, w, v_1 E) \quad (\text{R\_OPAPP2}) \\
& & \#\text{op}'(\mathbf{A}^I, \#\text{op}(\sigma^J, w, E)) \rightsquigarrow \#\text{op}(\sigma^J, w, \#\text{op}'(\mathbf{A}^I, E)) \quad (\text{R\_OPOP}) \\
& & \begin{array}{l} \text{handle } \#\text{op}(\sigma, w, E) \text{ with } h \rightsquigarrow \#\text{op}(\sigma, w, \text{handle } E \text{ with } h) \\ (\text{where } \text{op} \notin \text{ops}(h)) \end{array} \quad (\text{R\_OPHANDLE}) \\
\text{let } x = \Lambda \alpha^I.\#\text{op}(\sigma^J, w, E) \text{ in } e_2 \rightsquigarrow & & \\
& \#\text{op}(\forall \alpha^I.\sigma^J, \Lambda \alpha^I.w, \text{let } x = \Lambda \alpha^I.E \text{ in } e_2) & (\text{R\_OPLET}) \\
\text{handle } \#\text{op}(\forall \beta^J.\mathbf{A}^I, \Lambda \beta^J.v, E^{\beta^J}) \text{ with } h \rightsquigarrow & & \\
& e[\text{handle } E^{\beta^J} \text{ with } h/\text{resume}]_{\Lambda \beta^J.v}^{\forall \beta^J.\mathbf{A}^I} [\mathbf{A}^I[\perp/\beta^J]/\alpha^I][v[\perp/\beta^J]/x] & (\text{R\_HANDLE}) \\
& (\text{where } h^{\text{op}} = \Lambda \alpha^I.\text{op}(x) \rightarrow e) & 
\end{array}$$

Evaluation rules

$$e_1 \longrightarrow e_2$$

$$\frac{e_1 \rightsquigarrow e_2}{E[e_1] \longrightarrow E[e_2]} \quad \text{E\_EVAL}$$

Figure 2: Semantics of  $\lambda_{\text{eff}}^{\Lambda}$ .

### 1.2.2 Semantics

**Definition 9.** Relations  $\longrightarrow$  and  $\rightsquigarrow$  are the smallest relations satisfying the rules in Figure 2.

**Definition 10** (Multi-step evaluation). Binary relation  $\longrightarrow^*$  over terms is the reflexive and transitive closure of  $\longrightarrow$ .

**Definition 11** (Nonreducible terms). We write  $e \not\longrightarrow$  if there no terms  $e'$  such that  $e \longrightarrow e'$ .

### Typing rules

$$\boxed{\Gamma; r \vdash e : A | \epsilon}$$

$$\frac{\vdash \Gamma \quad x : \forall \alpha. A \in \Gamma \quad \Gamma \vdash B}{\Gamma; r \vdash x B : A[B/\alpha] | \epsilon} \quad \text{T\_VAR}$$

$$\frac{\vdash \Gamma}{\Gamma; r \vdash c : ty(c) | \epsilon} \quad \text{T\_CONST}$$

$$\frac{\Gamma, x : A; r \vdash e : B | \epsilon' \quad \Gamma; r \vdash \lambda x. e : A \rightarrow \epsilon' B | \epsilon}{\Gamma; r \vdash \lambda x. e : A \rightarrow \epsilon' B | \epsilon} \quad \text{T\_ABS}$$

$$\frac{\Gamma; r \vdash e_1 : A \rightarrow \epsilon' B | \epsilon \quad \Gamma; r \vdash e_2 : A | \epsilon \quad \epsilon' \subseteq \epsilon}{\Gamma; r \vdash e_1 e_2 : B | \epsilon} \quad \text{T\_APP}$$

$$\frac{ty(\text{op}) = \forall \alpha. A \hookrightarrow B \quad \text{op} \in \epsilon \quad \Gamma; r \vdash e : A[C/\alpha] | \epsilon \quad \Gamma \vdash C}{\Gamma; r \vdash \#\text{op}(C, e) : B[C/\alpha] | \epsilon} \quad \text{T\_OP}$$

$$\frac{\begin{array}{c} ty(\text{op}) = \forall \alpha^I. A \hookrightarrow B \quad \text{op} \in \epsilon \quad \Gamma \vdash \forall \beta^J. C^I \\ \Gamma, \beta^J; r \vdash v : A[C^I/\alpha^I] | \epsilon \quad \Gamma \vdash E^{\beta^J} : \forall \beta^J. (B[C^I/\alpha^I]) \multimap D | \epsilon \end{array}}{\Gamma; r \vdash \#\text{op}(\forall \beta^J. C^I, \Lambda \beta^J. v, E^{\beta^J}) : D | \epsilon} \quad \text{T\_OPCONT}$$

$$\frac{\Gamma; r \vdash e : A | \epsilon' \quad \epsilon' \subseteq \epsilon}{\Gamma; r \vdash e : A | \epsilon} \quad \text{T\_WEAK}$$

$$\frac{\Gamma; r \vdash e : A | \epsilon \quad \Gamma; r \vdash h : A | \epsilon \Rightarrow B | \epsilon'}{\Gamma; r \vdash \text{handle } e \text{ with } h : B | \epsilon'} \quad \text{T\_HANDLE}$$

$$\frac{\Gamma, \alpha; r \vdash e_1 : A | \epsilon \quad \Gamma, x : \forall \alpha. A; r \vdash e_2 : B | \epsilon}{\Gamma; r \vdash \text{let } x = \Lambda \alpha. e_1 \text{ in } e_2 : B | \epsilon} \quad \text{T\_LET}$$

$$\frac{\alpha \in \Gamma \quad \Gamma, \beta, x : A[\beta/\alpha]; (\alpha, A, B \rightarrow \epsilon C) \vdash e : B[\beta/\alpha] | \epsilon' \quad \epsilon \subseteq \epsilon'}{\Gamma; (\alpha, A, B \rightarrow \epsilon C) \vdash \text{resume } \beta x. e : C | \epsilon'} \quad \text{T\_RESUME}$$

Figure 3: Typing rules for terms in  $\lambda_{\text{eff}}^\Lambda$ .

#### 1.2.3 Typing

**Definition 12.** Judgments  $\Gamma; r \vdash e : A | \epsilon$  and  $\Gamma; r \vdash h : A | \epsilon \Rightarrow B | \epsilon'$  and  $\Gamma \vdash E : \sigma \multimap A | \epsilon$  are the smallest relations satisfying the rules in Figures 3 and 4.

**Convention 3** (Typing judgments of values). We write  $\Gamma \vdash v : A$  if  $\Gamma; \text{none} \vdash v : A | \epsilon$ ; effect  $\epsilon$  given to value  $v$  can be any (validated by Lemma 6).

#### 1.2.4 Elaboration

**Definition 13.** Relation  $\Gamma; R \vdash M : A | \epsilon \triangleright^S e$  is the smallest relation satisfying the rules in Figure 5.

## 2 Proofs

### 2.1 Type soundness of $\lambda_{\text{eff}}^\Lambda$

**Lemma 1.** Suppose that  $\vdash \Gamma_1, \Gamma_2$  and  $\vdash \Gamma_1, \Gamma_3$  and  $\text{dom}(\Gamma_2) \cap \text{dom}(\Gamma_3) = \emptyset$ . Then,  $\vdash \Gamma_1, \Gamma_2, \Gamma_3$ .

*Proof.* Straightforward by induction on  $\Gamma_3$ .  $\square$

**Lemma 2** (Weakening). Suppose that  $\vdash \Gamma_1, \Gamma_2$ . Let  $\Gamma_3$  be a typing context such that  $\text{dom}(\Gamma_2) \cap \text{dom}(\Gamma_3) = \emptyset$ .

1. If  $\Gamma_1, \Gamma_3; r \vdash e : A | \epsilon$ , then  $\Gamma_1, \Gamma_2, \Gamma_3; r \vdash e : A | \epsilon$ .
2. If  $\Gamma_1, \Gamma_3; r \vdash h : A | \epsilon \Rightarrow B | \epsilon'$ , then  $\Gamma_1, \Gamma_2, \Gamma_3; r \vdash h : A | \epsilon \Rightarrow B | \epsilon'$ .
3. If  $\Gamma_1, \Gamma_3 \vdash E : A \multimap B | \epsilon$ , then  $\Gamma_1, \Gamma_2, \Gamma_3 \vdash E : A \multimap B | \epsilon$ .

$$\boxed{\Gamma; r \vdash h : A | \epsilon \Rightarrow B | \epsilon'}$$

$$\frac{\Gamma, x:A; r \vdash e : B | \epsilon' \quad \epsilon \subseteq \epsilon'}{\Gamma; r \vdash \text{return } x \rightarrow e : A | \epsilon \Rightarrow B | \epsilon'} \quad \text{TH\_RETURN}$$

$$\frac{\Gamma; r \vdash h : A | \epsilon \Rightarrow B | \epsilon' \quad ty(\text{op}) = \forall \alpha. C \hookrightarrow D \quad \Gamma, \alpha, x:C; (\alpha, C, D \rightarrow \epsilon' B) \vdash e : B | \epsilon'}{\Gamma; r \vdash h; \Lambda \alpha. \text{op}(x) \rightarrow e : A | \epsilon \uplus \{\text{op}\} \Rightarrow B | \epsilon'} \quad \text{TH\_OP}$$

$$\boxed{\Gamma \vdash E : \sigma \multimap A | \epsilon}$$

$$\frac{}{\Gamma \vdash [] : A \multimap A | \epsilon} \quad \text{TE\_HOLE}$$

$$\frac{\Gamma \vdash E : \sigma \multimap (A \rightarrow \epsilon' B) | \epsilon \quad \Gamma; \text{none} \vdash e_2 : A | \epsilon \quad \epsilon' \subseteq \epsilon}{\Gamma \vdash E e_2 : \sigma \multimap B | \epsilon} \quad \text{TE\_APP1}$$

$$\frac{\Gamma; \text{none} \vdash v_1 : (A \rightarrow \epsilon' B) | \epsilon \quad \Gamma \vdash E : \sigma \multimap A | \epsilon \quad \epsilon' \subseteq \epsilon}{\Gamma \vdash v_1 E : \sigma \multimap B | \epsilon} \quad \text{TE\_APP2}$$

$$\frac{ty(\text{op}) = \forall \alpha. A \hookrightarrow B \quad \text{op} \in \epsilon \quad \Gamma \vdash E : \sigma \multimap A[C/\alpha] | \epsilon \quad \Gamma \vdash C}{\Gamma \vdash \#op(C, E) : \sigma \multimap B[C/\alpha] | \epsilon} \quad \text{TE\_OP}$$

$$\frac{\Gamma \vdash E : \sigma \multimap A | \epsilon \quad \Gamma; \text{none} \vdash h : A | \epsilon \Rightarrow B | \epsilon'}{\Gamma \vdash \text{handle } E \text{ with } h : \sigma \multimap B | \epsilon'} \quad \text{TE\_HANDLE}$$

$$\frac{\Gamma \vdash E : \sigma \multimap A | \epsilon' \quad \epsilon' \subseteq \epsilon}{\Gamma \vdash E : \sigma \multimap A | \epsilon} \quad \text{TE\_WEAK}$$

$$\frac{\Gamma, \alpha \vdash E : \sigma \multimap A | \epsilon \quad \Gamma, x: \forall \alpha. A; \text{none} \vdash e : B | \epsilon}{\Gamma \vdash \text{let } x = \Lambda \alpha. E \text{ in } e : \forall \alpha. \sigma \multimap B | \epsilon} \quad \text{TE\_LET}$$

Figure 4: Typing rules for handlers and continuations in  $\lambda_{\text{eff}}^{\Lambda}$ .

*Proof.* By mutual induction on the typing derivations. We mention only the interesting cases.

Case (T\_VAR) and (T\_CONST): By Lemma 1.

Case (T\_ABS): We are given  $\Gamma_1, \Gamma_3; r \vdash \lambda x. e' : A' \rightarrow \epsilon' B' | \epsilon$  and, by inversion,  $\Gamma_1, \Gamma_3, x:A'; r \vdash e' : B' | \epsilon'$ . With loss of generality, we can suppose that  $x \notin \text{dom}(\Gamma_1, \Gamma_2, \Gamma_3)$ . By the IH,  $\Gamma_1, \Gamma_2, \Gamma_3, x:A'; r \vdash e' : B' | \epsilon'$ . Thus, by (T\_ABS),  $\Gamma_1, \Gamma_2, \Gamma_3; r \vdash \lambda x. e' : A' \rightarrow \epsilon' B' | \epsilon$ . □

**Lemma 3.** *If  $\vdash \Gamma_1, \alpha, \Gamma_2$  and  $\Gamma_1 \vdash A$ , then  $\vdash \Gamma_1, \Gamma_2 [A/\alpha]$ .*

*Proof.* By induction on  $\Gamma_2$ . □

**Lemma 4.** *If  $\Gamma; r \vdash e : A | \epsilon$ , then  $\vdash \Gamma$ .*

*Proof.* By induction on the derivation of  $\Gamma; r \vdash e : A | \epsilon$ . □

**Lemma 5** (Type substitution). *Suppose that  $\Gamma_1 \vdash A$  and  $\alpha \notin \text{tyvars}(r)$  and  $\text{tyvars}(r) \subseteq \text{dom}(\Gamma_2)$ .*

1. *If  $\Gamma_1, \alpha, \Gamma_2; r \vdash e : B | \epsilon$ , then  $\Gamma_1, \Gamma_2 [A/\alpha]; r[A/\alpha] \vdash e[A/\alpha] : B[A/\alpha] | \epsilon$ .*

**Term elaboration rules**  $\boxed{\Gamma; R \vdash M : A | \epsilon \triangleright^S e}$

$$\begin{array}{c}
\frac{\vdash \Gamma \quad x : \forall \alpha. A \in \Gamma \quad \Gamma \vdash B}{\Gamma; R \vdash x : A[B/\alpha] | \epsilon \triangleright^S S(x) B} \text{ ELAB_VAR} \quad \frac{\vdash \Gamma}{\Gamma; R \vdash c : ty(c) | \epsilon \triangleright^S c} \text{ ELAB_CONST} \\
\\
\frac{\Gamma, x : A; R \vdash M : B | \epsilon' \triangleright^{S \circ \{x \mapsto x\}} e}{\Gamma; R \vdash \lambda x. M : A \rightarrow \epsilon' B | \epsilon \triangleright^S \lambda x. e} \text{ ELAB_ABS} \\
\\
\frac{\Gamma; R \vdash M_1 : A \rightarrow \epsilon' B | \epsilon \triangleright^S e_1 \quad \Gamma; R \vdash M_2 : A | \epsilon \triangleright^S e_2 \quad \epsilon' \subseteq \epsilon}{\Gamma; R \vdash M_1 M_2 : B | \epsilon \triangleright^S e_1 e_2} \text{ ELAB_APP} \\
\\
\frac{ty(\text{op}) = \forall \alpha. A \hookrightarrow B \quad \text{op} \in \epsilon \quad \Gamma; R \vdash M : A[C/\alpha] | \epsilon \triangleright^S e \quad \Gamma \vdash C}{\Gamma; R \vdash \#\text{op}(M) : B[C/\alpha] | \epsilon \triangleright^S \#\text{op}(C, e)} \text{ ELAB_OP} \\
\\
\frac{\Gamma; R \vdash M : A | \epsilon \triangleright^S e \quad \Gamma; R \vdash H : A | \epsilon \Rightarrow B | \epsilon' \triangleright^S h}{\Gamma; R \vdash \text{handle } M \text{ with } H : B | \epsilon' \triangleright^S \text{handle } e \text{ with } h} \text{ ELAB_HANDLE} \\
\\
\frac{\Gamma, \alpha; R \vdash M_1 : A | \epsilon \triangleright^S e_1 \quad S' = S \circ \{x \mapsto x\} \quad \Gamma, x : \forall \alpha. A; R \vdash M_2 : B | \epsilon \triangleright^{S'} e_2}{\Gamma; R \vdash \text{let } x = M_1 \text{ in } M_2 : B | \epsilon \triangleright^S \text{let } x = \Lambda \alpha. e_1 \text{ in } e_2} \text{ ELAB LET} \\
\\
\frac{R = (\alpha, x : A, B \rightarrow \epsilon' C) \quad \vdash \Gamma_1, x : D, \Gamma_2 \quad \alpha \in \Gamma_1 \quad \epsilon \subseteq \epsilon' \quad y \text{ is fresh} \quad S' = S \circ \{x \mapsto y\} \quad \Gamma_1, \Gamma_2, \beta, x : A[\beta/\alpha]; R \vdash M : B[\beta/\alpha] | \epsilon' \triangleright^{S'} e}{\Gamma_1, x : D, \Gamma_2; R \vdash \text{resume } M : C | \epsilon' \triangleright^S \text{resume } \beta y. e} \text{ ELAB_RESUME} \\
\\
\frac{\Gamma; R \vdash M : A | \epsilon' \triangleright^S e \quad \epsilon' \subseteq \epsilon}{\Gamma; R \vdash M : A | \epsilon \triangleright^S e} \text{ ELAB_WEAK}
\end{array}$$

**Handler elaboration rules**  $\boxed{\Gamma; R \vdash H : A | \epsilon \Rightarrow B | \epsilon' \triangleright^S h}$

$$\begin{array}{c}
\frac{\Gamma, x : A; R \vdash M : B | \epsilon' \triangleright^{S \circ \{x \mapsto x\}} e \quad \epsilon \subseteq \epsilon'}{\Gamma; R \vdash \text{return } x \rightarrow M : A | \epsilon \Rightarrow B | \epsilon' \triangleright^S \text{return } x \rightarrow e} \text{ ELABH_RETURN} \\
\\
\frac{ty(\text{op}) = \forall \alpha. C \hookrightarrow D \quad \Gamma; R \vdash H : A | \epsilon \Rightarrow B | \epsilon' \triangleright^S h \quad \Gamma, \alpha, x : C; (\alpha, x : C, D \rightarrow \epsilon' B) \vdash M : B | \epsilon' \triangleright^{S \circ \{x \mapsto x\}} e}{\Gamma; R \vdash H; \text{op}(x) \rightarrow M : A | \epsilon \uplus \{\text{op}\} \Rightarrow B | \epsilon' \triangleright^S h; \Lambda \alpha. \text{op}(x) \rightarrow e} \text{ ELABH_OP}
\end{array}$$

Figure 5: Elaboration rules.

2. If  $\Gamma_1, \alpha, \Gamma_2; r \vdash h : B | \epsilon \Rightarrow C | \epsilon'$ , then  $\Gamma_1, \Gamma_2 [A/\alpha]; r[A/\alpha] \vdash h[A/\alpha] : B[A/\alpha] | \epsilon \Rightarrow C[A/\alpha] | \epsilon'$ .

3. If  $\Gamma_1, \alpha, \Gamma_2 \vdash E : B \multimap C | \epsilon$ , then  $\Gamma_1, \Gamma_2 [A/\alpha] \vdash E[A/\alpha] : B[A/\alpha] \multimap C[A/\alpha] | \epsilon$ .

*Proof.* By mutual induction on the typing derivations. We mention only the interesting cases.

Case (T\_VAR) and (T\_CONST): By Lemma 3.

Case (T\_RESUME): We are given  $\Gamma_1, \alpha, \Gamma_2; (\beta, A', B' \rightarrow \epsilon' B) \vdash \text{resume } \gamma x. e' : B | \epsilon$  and, by inversion,

- $\beta \in \Gamma_1, \alpha, \Gamma_2$ ,

- $\Gamma_1, \alpha, \Gamma_2, \gamma, x : A'[\gamma/\beta]; (\beta, A', B' \rightarrow \epsilon' B) \vdash e' : B'[\gamma/\beta] | \epsilon'$ , and
- $\epsilon' \subseteq \epsilon$ .

Without loss of generality, we can suppose that  $\alpha \not\in \gamma$ . By the IH,

$$\Gamma_1, \Gamma_2[A/\alpha], \gamma, x : A'[\gamma/\beta]; (\beta, A', B' \rightarrow \epsilon' B[A/\alpha]) \vdash e'[A/\alpha] : B'[\gamma/\beta] | \epsilon'.$$

Note that (1)  $A'[A/\alpha] = A'$ ,  $B'[A/\alpha] = B'$ , and  $B'[\gamma/\beta][A/\alpha] = B'[\gamma/\beta]$  by the requirement to resume types and (2)  $ftv(B[A/\alpha]) \cap \beta = \emptyset$  since  $\Gamma_1 \vdash A$  and  $\beta = tyvars((\beta, A', B' \rightarrow \epsilon' B)) \subseteq dom(\Gamma_2)$  and  $dom(\Gamma_1) \cap dom(\Gamma_2) = \emptyset$  by Lemma 4. By (T\_RESUME), we finish.  $\square$

**Lemma 6** (Values can be given any effects). *If  $\Gamma; r \vdash v : A | \epsilon$ , then  $\Gamma; r \vdash v : A | \epsilon'$  for any  $\epsilon'$ .*

*Proof.* Straightforward by induction on the derivation of  $\Gamma; r \vdash v : A | \epsilon$ .  $\square$

**Lemma 7.**

1. If  $\Gamma; \text{none} \vdash e : A | \epsilon$ , then  $\Gamma; r \vdash e : A | \epsilon$  for any  $r$ .
2. If  $\Gamma; \text{none} \vdash h : A | \epsilon \Rightarrow B | \epsilon'$ , then  $\Gamma; r \vdash h : A | \epsilon \Rightarrow B | \epsilon'$  for any  $r$ .

*Proof.* Straightforward by mutual induction on the typing derivations.  $\square$

**Lemma 8.** *If  $\vdash \Gamma_1, x : \sigma, \Gamma_2$ , then  $\vdash \Gamma_1, \Gamma_2$ .*

*Proof.* Straightforward by induction on  $\Gamma_2$ .  $\square$

**Lemma 9** (Value substitution). *Suppose that  $\Gamma_1, \alpha^I \vdash v : A$ .*

1. If  $\Gamma_1, x : \forall \alpha^I . A, \Gamma_2; r \vdash e : B | \epsilon$ , then  $\Gamma_1, \Gamma_2; r \vdash e[\Lambda \alpha^I . v / x] : B | \epsilon$ .
2. If  $\Gamma_1, x : \forall \alpha^I . A, \Gamma_2; r \vdash h : B | \epsilon \Rightarrow C | \epsilon'$ , then  $\Gamma_1, \Gamma_2; r \vdash h[\Lambda \alpha^I . v / x] : B | \epsilon \Rightarrow C | \epsilon'$ .
3. If  $\Gamma_1, x : \forall \alpha^I . A, \Gamma_2 \vdash E : B \multimap C | \epsilon$ , then  $\Gamma_1, \Gamma_2 \vdash E[\Lambda \alpha^I . v / x] : B \multimap C | \epsilon$ .

*Proof.* By mutual induction on the typing derivations. We mention only the interesting cases.

Case (T\_VAR): We are given  $\Gamma_1, x : \forall \alpha^I . A, \Gamma_2; r \vdash y \mathbf{C}^J : D[\mathbf{C}^J/\beta^J] | \epsilon$  and, by inversion,

- $\vdash \Gamma_1, x : \forall \alpha^I . A, \Gamma_2$ ,
- $y : \forall \beta^J . D \in \Gamma_1, x : \forall \alpha^I . A, \Gamma_2$ , and
- $\Gamma_1, x : \forall \alpha^I . A, \Gamma_2 \vdash \mathbf{C}^J$ .

By Lemma 8,  $\vdash \Gamma_1, \Gamma_2$ .

If  $x \neq y$ , then the conclusion is obvious by (T\_VAR). Otherwise, if  $x = y$ , then  $\forall \alpha^I . A = \forall \beta^J . D$  and so we have to show that

$$\Gamma_1, \Gamma_2; r \vdash v[\mathbf{C}^I/\alpha^I] : A[\mathbf{C}^I/\alpha^I] | \epsilon.$$

Since  $\Gamma_1, \alpha^I \vdash v : A$  (i.e.,  $\Gamma_1, \alpha^I; \text{none} \vdash v : A | \epsilon'$  for some  $\epsilon'$ ), we have it by Lemmas 5, 2, 6, and 7.

Case (T\_CONST): By Lemma 8.  $\square$

**Lemma 10.** *If  $\Gamma \vdash E^{\alpha^J} : \forall \alpha^J . A \multimap B | \epsilon$  and  $\Gamma, \alpha^J; \text{none} \vdash e : A | \langle \rangle$ , then  $\Gamma; \text{none} \vdash E^{\alpha^J}[e] : B | \epsilon$ .*

*Proof.* By induction on the derivation of  $\Gamma \vdash E^{\alpha^J} : \forall \alpha^J . A \multimap B | \epsilon$ .

Case (TE\_HOLE): By (T\_WEAK).

Case (TE\_APP1) and (TE\_APP2): By the IH, (T\_WEAK), and (T\_APP).

Case (TE\_OP): By the IH and (T\_OP).

Case (TE\_HANDLE): By the IH and (T\_HANDLE).

Case (TE\_WEAK): By the IH and (T\_WEAK).

Case (TE LET): By the IH and (T LET).

□

**Lemma 11** (Continuation substitution). *Suppose that  $\Gamma \vdash E^{\beta^J} : \forall \beta^J.(B[\mathbf{C}^I/\alpha^I]) \multimap D | \epsilon$  and  $\Gamma, \beta^J \vdash v : A[\mathbf{C}^I/\alpha^I]$  and  $\Gamma \vdash \forall \beta^J.\mathbf{C}^I$ .*

1. *If  $\Gamma; (\alpha^I, A, B \rightarrow \epsilon D) \vdash e : D' | \epsilon'$ , then  $\Gamma; \text{none} \vdash e[E^{\beta^J}/\text{resume}]_{\Lambda \beta^J.v}^{\forall \beta^J.C^I} : D' | \epsilon'$ .*
2. *If  $\Gamma; (\alpha^I, A, B \rightarrow \epsilon D) \vdash h : D_1 | \epsilon_1 \Rightarrow D_2 | \epsilon_2$ , then  $\Gamma; \text{none} \vdash h[E^{\beta^J}/\text{resume}]_{\Lambda \beta^J.v}^{\forall \beta^J.C^I} : D_1 | \epsilon_1 \Rightarrow D_2 | \epsilon_2$ .*

*Proof.* By mutual induction on the typing derivations.

1. By case analysis on the typing rule applied last.

Case (T\_VAR) and (T\_CONST): Obvious.

Case (T\_ABS), (T\_APP), (T\_OP), (T\_WEAK), (T\_HANDLE), and (T LET): By the IH(s) with (if necessary) weakening (Lemmas 4 and 2).

Case (T\_OPCONT): By the IH; note that

$$\#\text{op}(\sigma, w, E')[E^{\beta^J}/\text{resume}]_{\Lambda \beta^J.v}^{\forall \beta^J.C^I} = \#\text{op}(\sigma, w[E^{\beta^J}/\text{resume}]_{\Lambda \beta^J.v}^{\forall \beta^J.C^I}, E').$$

Case (T\_RESUME): We are given  $\Gamma; (\alpha^I, A, B \rightarrow \epsilon D) \vdash \text{resume } \gamma^I x.e' : D | \epsilon'$  and, by inversion,

- $\alpha^I \in \Gamma$ ,
- $\Gamma, \gamma^I, x : A[\gamma^I/\alpha^I]; (\alpha^I, A, B \rightarrow \epsilon D) \vdash e' : B[\gamma^I/\alpha^I] | \epsilon'$  and
- $\epsilon \subseteq \epsilon'$ .

Without loss of generality, we can suppose that each type variable of  $\gamma^I$  is distinct from  $\beta^J$ . Thus, by weakening (Lemmas 4 and 2) and the IH,

$$\Gamma, \gamma^I, x : A[\gamma^I/\alpha^I]; \text{none} \vdash e'[E^{\beta^J}/\text{resume}]_{\Lambda \beta^J.v}^{\forall \beta^J.C^I} : B[\gamma^I/\alpha^I] | \epsilon'.$$

By Lemma 2,

$$\Gamma, \beta^J, \gamma^I, x : A[\gamma^I/\alpha^I]; \text{none} \vdash e'[E^{\beta^J}/\text{resume}]_{\Lambda \beta^J.v}^{\forall \beta^J.C^I} : B[\gamma^I/\alpha^I] | \epsilon'.$$

Since  $\Gamma, \beta^J \vdash \mathbf{C}^I$ , we have

$$\Gamma, \beta^J, x : A[\mathbf{C}^I/\alpha^I]; \text{none} \vdash e'[E^{\beta^J}/\text{resume}]_{\Lambda \beta^J.v}^{\forall \beta^J.C^I} [\mathbf{C}^I/\gamma^I] : B[\mathbf{C}^I/\alpha^I] | \epsilon'$$

by Lemma 5. Since  $\Gamma, \beta^J \vdash v : A[\mathbf{C}^I/\alpha^I]$ , we have

$$\Gamma, \beta^J; \text{none} \vdash e'[E^{\beta^J}/\text{resume}]_{\Lambda \beta^J.v}^{\forall \beta^J.C^I} [\mathbf{C}^I/\gamma^I][v/x] : B[\mathbf{C}^I/\alpha^I] | \epsilon' \quad (1)$$

by Lemma 9.

Since  $\Gamma \vdash E^{\beta^J} : \forall \beta^J.(B[\mathbf{C}^I/\alpha^I]) \multimap D | \epsilon$ , we have

$$\Gamma, y : \forall \beta^J.B[\mathbf{C}^I/\alpha^I] \vdash E^{\beta^J} : \forall \beta^J.(B[\mathbf{C}^I/\alpha^I]) \multimap D | \epsilon$$

for some fresh variable  $y$  by Lemma 2. Since  $\Gamma, y : \forall \beta^J.B[\mathbf{C}^I/\alpha^I], \beta^J; \text{none} \vdash y\beta^J : B[\mathbf{C}^I/\alpha^I] | \langle \rangle$  by (T\_VAR), we have

$$\Gamma, y : \forall \beta^J.B[\mathbf{C}^I/\alpha^I]; \text{none} \vdash E^{\beta^J}[y\beta^J] : D | \epsilon' \quad (2)$$

by Lemma 10 and (T\\_WEAK).

By (1), (2), and (T\\_LET),

$$\Gamma; \text{none} \vdash \text{let } y = \Lambda \beta^J.e'[E^{\beta^J}/\text{resume}]_{\Lambda \beta^J.v}^{\forall \beta^J.C^I} [C^I/\gamma^I][v/x] \text{ in } E^{\beta^J}[y \beta^J] : D | \epsilon',$$

which is what we have to show by definition of substitution for resume.

2. By case analysis on the typing rule applied last.

Case (TH\\_RETURN): By the IH.

Case (TH\\_OP): By the IH; note that

$$\begin{aligned} & (h'; \Lambda \gamma^{I'}.\text{op}(x) \rightarrow e')[E^{\beta^J}/\text{resume}]_{\Lambda \beta^J.v}^{\forall \beta^J.C^I} \\ &= h'[E^{\beta^J}/\text{resume}]_{\Lambda \beta^J.v}^{\forall \beta^J.C^I}; \Lambda \gamma^{I'}.\text{op}(x) \rightarrow e'. \end{aligned}$$

□

**Lemma 12** (Constant inversion). *If  $\Gamma; r \vdash c : A | \epsilon$ , then  $\text{ty}(c) = A$ .*

*Proof.* Straightforward by induction on the derivation of  $\Gamma; r \vdash c : A | \epsilon$ . □

**Lemma 13** (Abstraction inversion). *If  $\Gamma; r \vdash \lambda x.e : A \rightarrow \epsilon' B | \epsilon$ , then  $\Gamma, x:A; r \vdash e : B | \epsilon'$ .*

*Proof.* Straightforward by induction on the derivation of  $\Gamma; r \vdash \lambda x.e : A \rightarrow \epsilon' B | \epsilon$ . □

**Lemma 14** (Continuation inversion). *If  $\Gamma; r \vdash \#\text{op}(\sigma^I, w, E) : D | \epsilon$ , then*

- $\sigma^I = \forall \beta^J.C^I$ ,
- $w = \Lambda \beta^J.v$ ,
- $E$  captures  $\beta^J$  at the hole,
- $\epsilon' \subseteq \epsilon$ ,
- $\text{ty}(\text{op}) = \forall \alpha^I.A \hookrightarrow B$ ,
- $\text{op} \in \epsilon'$ ,
- $\Gamma \vdash \forall \beta^J.C^I$ ,
- $\Gamma, \beta^J; r \vdash v : A[C^I/\alpha^I] | \epsilon'$ , and
- $\Gamma \vdash E : \forall \beta^J.(B[C^I/\alpha^I]) \multimap D | \epsilon'$

for some  $\alpha^I, \beta^J, C^I, A, B, v$ , and  $\epsilon'$ .

*Proof.* Straightforward by induction on the derivation of  $\Gamma; r \vdash \#\text{op}(\sigma^I, w, E) : D | \epsilon$ . □

**Lemma 15** (Handler inversion). *Suppose that  $\Gamma; r \vdash h : A | \epsilon \Rightarrow B | \epsilon'$ .*

1. If  $h^{\text{return}} = \text{return } x \rightarrow e$ , then  $\Gamma, x:A; r \vdash e : B | \epsilon'$  for some  $x$  and  $e$ .
2. For any  $\text{op} \in \text{ops}(h)$ ,

- $h^{\text{op}} = \Lambda \alpha^I.\text{op}(x) \rightarrow e$ ,
- $\text{ty}(\text{op}) = \forall \alpha^I.C \hookrightarrow D$ , and
- $\Gamma, \alpha^I, x:C; (\alpha^I, C, D \rightarrow \epsilon' B) \vdash e : B | \epsilon'$

for some  $\alpha^I, x, e, C$ , and  $D$ .

*Proof.* Straightforward by induction on the derivation of  $\Gamma; r \vdash h : A | \epsilon \Rightarrow B | \epsilon'$ . □

**Lemma 16** (Canonical forms). *If  $\Gamma; r \vdash v : \iota | \epsilon$ , then  $v = c$ .*

*Proof.* Straightforward by induction on the derivation.  $\square$

**Lemma 17.** *If  $\Gamma; r \vdash h : A | \epsilon \Rightarrow B | \epsilon'$  and  $\text{op} \in \epsilon$  and  $\text{op} \notin \text{ops}(h)$ , then  $\text{op} \in \epsilon'$*

*Proof.* Straightforward by induction on the derivation of  $\Gamma; r \vdash h : A | \epsilon \Rightarrow B | \epsilon'$ .  $\square$

**Lemma 18** (Progress). *If  $\Delta; \text{none} \vdash e : A | \epsilon$ , then (1)  $e \rightarrow e'$  for some  $e'$ , (2)  $e$  is a value, or (3)  $e = \#\text{op}(\sigma, w, E)$  for some  $\text{op} \in \epsilon$ ,  $\sigma$ ,  $w$ , and  $E$ .*

*Proof.* By induction on the derivation of  $\Delta; \text{none} \vdash e : A | \epsilon$ .

Case (T\_VAR): Contradictory.

Case (T\_CONST): Obvious.

Case (T\_ABS): Obvious.

Case (T\_APP): We are given

- $e = e_1 e_2$ ,
- $\Delta; \text{none} \vdash e_1 e_2 : A | \epsilon$ ,
- $\Delta; \text{none} \vdash e_1 : B \rightarrow \epsilon' A | \epsilon$ ,
- $\Delta; \text{none} \vdash e_2 : B | \epsilon$ , and
- $\epsilon' \subseteq \epsilon$ .

By case analysis on the behavior of  $e_1$ . We have three cases to be considered by the IH.

Case  $e_1 \rightarrow e'_1$  for some  $e'_1$ : We have  $e \rightarrow e'_1 e_2$ .

Case  $e_1 = \#\text{op}(\sigma, w, E)$  for some  $\text{op} \in \epsilon$ ,  $\sigma$ ,  $w$ , and  $E$ : By (R\_OPAPP1) and (E\_EVAL).

Case  $e_1 = v_1$  for some  $v_1$ : By case analysis on the behavior of  $e_2$  with the IH.

Case  $e_2 \rightarrow e'_2$  for some  $e'_2$ : We have  $e \rightarrow v_1 e'_2$ .

Case  $e_2 = \#\text{op}(\sigma, w, E)$  for some  $\text{op} \in \epsilon$ ,  $\sigma$ ,  $w$ , and  $E$ : By (R\_OPAPP2) and (E\_EVAL).

Case  $e_2 = v_2$  for some  $v_2$ : If  $v_1 = c_1$  for some  $c_1$ , then  $B = \iota$  and  $\text{ty}(c_1) = \iota \rightarrow \langle \rangle A$  and  $\epsilon' = \langle \rangle$  by Lemma 12. Since  $\Delta; \text{none} \vdash v_2 : \iota | \epsilon$ , there exists some  $c_2$  such that  $v_2 = c_2$  and  $\text{ty}(c_2) = \iota$ . By the assumption about constants,  $\zeta(c_1, c_2)$  is defined and  $\zeta(c_1, c_2)$  is a constant and  $\text{ty}(\zeta(c_1, c_2)) = A$ . Thus,  $e = c_1 c_2 \rightarrow \zeta(c_1, c_2)$  by (R\_CONST)/(E\_EVAL).

If  $v_1 = \lambda x. e'$  for some  $x$  and  $e'$ , then  $e = (\lambda x. e') v_2 \rightarrow e'[v_2/x]$  by (R\_BETA)/(E\_EVAL). Note that substitution of  $v_2$  for  $x$  in  $e'$  is defined since  $\Delta, x : B; \text{none} \vdash e' : A | \epsilon'$  by Lemma 13.

Case (T\_OP): We are given

- $e = \#\text{op}(\mathbf{C}^I, e')$ ,
- $A = B'[\mathbf{C}^I / \boldsymbol{\alpha}^I]$ ,
- $\Delta; \text{none} \vdash \#\text{op}(\mathbf{C}^I, e') : B'[\mathbf{C}^I / \boldsymbol{\alpha}^I] | \epsilon$
- $\text{ty}(\text{op}) = \forall \boldsymbol{\alpha}^I. A' \hookrightarrow B'$ ,
- $\text{op} \in \epsilon$ ,
- $\Delta; \text{none} \vdash e' : A'[\mathbf{C}^I / \boldsymbol{\alpha}^I] | \epsilon$ , and
- $\Delta \vdash \mathbf{C}^I$ .

By case analysis on the behavior of  $e'$  with the IH.

Case  $e' \rightarrow e''$  for some  $e''$ : We have  $e \rightarrow \#\text{op}(\mathbf{C}^I, e'')$ .

Case  $e' = \#\text{op}'(\sigma^{I'}, w, E)$  for some  $\text{op}' \in \epsilon$ ,  $\sigma^{I'}$ ,  $w$ , and  $E$ : By (R\_OPOP) and (E\_EVAL).

Case  $e' = v$  for some  $v$ : By (R\_OP)/(E\_EVAL).

Case (T\_OPCONT): Obvious.

Case (T\_WEAK): By the IH.

Case (T\_HANDLE): We are given

- $e = \text{handle } e' \text{ with } h$ ,
- $\Delta; \text{none} \vdash \text{handle } e' \text{ with } h : A | \epsilon$ ,
- $\Delta; \text{none} \vdash e' : B | \epsilon'$ , and
- $\Delta; \text{none} \vdash h : B | \epsilon' \Rightarrow A | \epsilon$ .

By case analysis on the behavior of  $e'$  with the IH.

Case  $e' \rightarrow e''$  for some  $e''$ : We have  $e \rightarrow \text{handle } e'' \text{ with } h$ .

Case  $e' = \#\text{op}(\sigma, w, E)$  for some  $\text{op} \in \epsilon'$ ,  $\sigma$ ,  $w$ , and  $E$ : If  $\text{op} \in \text{ops}(h)$ , then we finish by Lemma 14 and (R\_HANDLE)/(E\_EVAL).

Otherwise, if  $\text{op} \notin \text{ops}(h)$ , we have  $e = \text{handle } \#\text{op}(\sigma, w, E) \text{ with } h \rightarrow \#\text{op}(\sigma, w, \text{handle } E \text{ with } h)$  by (R\_OPHANDLE)/(E\_EVAL).

Note that  $\text{op} \in \epsilon$  by Lemma 17.

Case  $e' = v$  for some  $v$ : By (R\_RETURN)/(E\_EVAL).

Case (T\_RESUME): Contradictory.

Case (T LET): We are given

- $e = \text{let } x = \Lambda \alpha^I . e_1 \text{ in } e_2$ ,
- $\Delta, \alpha^I; \text{none} \vdash e_1 : B | \epsilon$ , and
- $\Delta, x : \forall \alpha^I . B; \text{none} \vdash e_2 : A | \epsilon$ .

By case analysis on the behavior of  $e_1$ .

Case  $e_1 \rightarrow e'_1$  for some  $e'_1$ :

Case  $e_1 = \#\text{op}(\sigma^J, w, E)$  for some  $\text{op} \in \epsilon$ ,  $\sigma^J$ ,  $w$ , and  $E$ : By (R\_OPLET) and (E\_EVAL).

Case  $e_1 = v_1$  for some  $v_1$ : By (R LET)/(E\_EVAL). Note that substitution of  $\Lambda \alpha^I . v$  for  $x$  in  $e_2$  is defined since  $\Delta, x : \forall \alpha^I . B; \text{none} \vdash e_2 : A | \epsilon$ .

□

### Lemma 19.

1. If  $\Gamma; \text{none} \vdash e : A | \epsilon$ , then  $\Gamma \vdash A$ .
2. If  $\Gamma; \text{none} \vdash h : A | \epsilon \Rightarrow B | \epsilon'$ , then  $\Gamma \vdash B$ .
3. If  $\Gamma \vdash E : \sigma \multimap A | \epsilon$  and  $\Gamma \vdash \sigma$ , then  $\Gamma \vdash A$ .

*Proof.* Straightforward by mutual induction on the typing derivations with Lemma 4. □

### Lemma 20 (Subject reduction).

1. If  $\Delta; \text{none} \vdash e_1 : A | \epsilon$  and  $e_1 \rightsquigarrow e_2$ , then  $\Delta; \text{none} \vdash e_2 : A | \epsilon$ .
2. If  $\Delta; \text{none} \vdash e_1 : A | \epsilon$  and  $e_1 \rightarrow e_2$ , then  $\Delta; \text{none} \vdash e_2 : A | \epsilon$ .

*Proof.* 1. Suppose that  $\Delta; \text{none} \vdash e_1 : A | \epsilon$  and  $e_1 \rightsquigarrow e_2$ . By induction on  $\Delta; \text{none} \vdash e_1 : A | \epsilon$ .

Case (T\_VAR): Contradictory.

Case (T\_CONST): Contradictory; no reduction rules can be applied to constants.

Case (T\_ABS): Contradictory; no reduction rules can be applied to lambda abstractions.

Case (T\_APP): We have four reduction rules which can be applied to function applications.

Case (R\_CONST): We are given

- $e_1 = c_1 c_2$ ,
- $e_2 = \zeta(c_1, c_2)$ ,
- $\Delta; \text{none} \vdash c_1 c_2 : A | \epsilon$ ,
- $\Delta; \text{none} \vdash c_1 : B \rightarrow \epsilon' A | \epsilon$ ,
- $\Delta; \text{none} \vdash c_2 : B | \epsilon$ , and
- $\epsilon' \subseteq \epsilon$ .

By Lemma 12 and the assumption about constants, we have  $B = \text{ty}(c_2) = \iota$  and  $\text{ty}(c_1) = \iota \rightarrow \langle \rangle A$  and  $\epsilon' = \langle \rangle$  for some  $\iota$ . By the assumption about  $\zeta$ ,  $\zeta(c_1, c_2)$  is a constant and  $\text{ty}(\zeta(c_1, c_2)) = A$ . Thus, by (T\_CONST),  $\Delta; \text{none} \vdash \zeta(c_1, c_2) : A | \epsilon$ ; note that  $\vdash \Delta$  by Lemma 4.

Case (R\_BETA): We are given

- $e_1 = (\lambda x.e) v$ ,
- $e_2 = e[v/x]$ ,
- $\Delta; \text{none} \vdash (\lambda x.e) v : A | \epsilon$ ,
- $\Delta; \text{none} \vdash \lambda x.e : B \rightarrow \epsilon' A | \epsilon$ ,
- $\Delta; \text{none} \vdash v : B | \epsilon$ , and
- $\epsilon' \subseteq \epsilon$ .

By Lemma 13,  $\Delta, x:B; \text{none} \vdash e : A | \epsilon'$ . By (T\_WEAK),  $\Delta, x:B; \text{none} \vdash e : A | \epsilon$ . By Lemma 9 (1),  $\Delta; \text{none} \vdash e[v/x] : A | \epsilon$ .

Case (R\_OPAPP1): By Lemma 14, we are given

- $e_1 = \#\text{op}(\forall \beta^J. C^I, \Lambda \beta^J. v, E^{\beta^J}) e'_2$ ,
- $e_2 = \#\text{op}(\forall \beta^J. C^I, \Lambda \beta^J. v, E^{\beta^J} e'_2)$ ,
- $\Delta; \text{none} \vdash \#\text{op}(\forall \beta^J. C^I, \Lambda \beta^J. v, E^{\beta^J}) e'_2 : A | \epsilon$ ,
- $\Delta; \text{none} \vdash \#\text{op}(\forall \beta^J. C^I, \Lambda \beta^J. v, E^{\beta^J}) : B \rightarrow \epsilon' A | \epsilon$ ,
- $\Delta; \text{none} \vdash e'_2 : B | \epsilon$ ,
- $\epsilon' \subseteq \epsilon$ ,
- $\text{ty}(\text{op}) = \forall \alpha^I. A' \hookrightarrow B'$
- $\epsilon'' \subseteq \epsilon$ ,
- $\text{op} \in \epsilon''$ ,
- $\Delta \vdash \forall \beta^J. C^I$ ,
- $\Delta, \beta^J; \text{none} \vdash v : A'[C^I/\alpha^I] | \epsilon''$ , and
- $\Delta \vdash E^{\beta^J} : \forall \beta^J. (B'[C^I/\alpha^I]) \multimap B \rightarrow \epsilon' A | \epsilon''$

for some  $e'_2, \epsilon', \alpha^I, \beta^J, B, C^I, A', B'$ , and  $\epsilon''$ .

Since  $\epsilon' \subseteq \epsilon$  and  $\epsilon'' \subseteq \epsilon$ , we have

$$\Delta \vdash E^{\beta^J} e'_2 : \forall \beta^J. (B'[C^I/\alpha^I]) \multimap A | \epsilon$$

by (TE\_WEAK) and (TE\_APP1). By (T\_WEAK),

$$\Delta, \beta^J; \text{none} \vdash v : A'[C^I/\alpha^I] | \epsilon.$$

Thus, by (T\_OPCONT), we have the conclusion.

Case (R\_OPAPP2): Similar to the case of (R\_OPAPP1).

Case (T\_OP): We have two reduction rules which can be applied to effect invocation.

Case (R\_OP): We are given

- $e_1 = \#\text{op}(C^I, v)$ ,
- $e_2 = \#\text{op}(C^I, v, [])$ ,

- $A = B'[\mathbf{C}^I/\boldsymbol{\alpha}^I]$ ,
- $\Delta; \text{none} \vdash \#\text{op}(\mathbf{C}^I, v) : B'[\mathbf{C}^I/\boldsymbol{\alpha}^I] \mid \epsilon$ ,
- $ty(\text{op}) = \forall \boldsymbol{\alpha}^I. A' \hookrightarrow B'$ ,
- $\text{op} \in \epsilon$ ,
- $\Delta; \text{none} \vdash v : A'[\mathbf{C}^I/\boldsymbol{\alpha}^I] \mid \epsilon$ , and
- $\Delta \vdash \mathbf{C}^I$ .

By (TE\\_HOLE) and (T\\_OPCONT), we have the conclusion.

Case (R\\_OPOP): By Lemma 14, we are given

- $e_1 = \#\text{op}'(\mathbf{C'}^{I'}, \#\text{op}(\forall \boldsymbol{\beta}^J. \mathbf{C}^I, \Lambda \boldsymbol{\beta}^J.v, E^{\boldsymbol{\beta}^J}))$ ,
- $e_2 = \#\text{op}(\forall \boldsymbol{\beta}^J. \mathbf{C}^I, \Lambda \boldsymbol{\beta}^J.v, \#\text{op}'(\mathbf{C'}^{I'}, E^{\boldsymbol{\beta}^J}))$ ,
- $A = B'[\mathbf{C'}^{I'}/\boldsymbol{\gamma}^{I'}]$ ,
- $\Delta; \text{none} \vdash \#\text{op}'(\mathbf{C'}^{I'}, \#\text{op}(\forall \boldsymbol{\beta}^J. \mathbf{C}^I, \Lambda \boldsymbol{\beta}^J.v, E^{\boldsymbol{\beta}^J})) : B'[\mathbf{C'}^{I'}/\boldsymbol{\gamma}^{I'}] \mid \epsilon$
- $ty(\text{op}') = \forall \boldsymbol{\gamma}^{I'}. A' \hookrightarrow B'$ ,
- $\text{op}' \in \epsilon$ ,
- $\Delta \vdash \mathbf{C'}^{I'}$ ,
- $\Delta; \text{none} \vdash \#\text{op}(\forall \boldsymbol{\beta}^J. \mathbf{C}^I, \Lambda \boldsymbol{\beta}^J.v, E^{\boldsymbol{\beta}^J}) : A'[\mathbf{C'}^{I'}/\boldsymbol{\gamma}^{I'}] \mid \epsilon$ ,
- $ty(\text{op}) = \forall \boldsymbol{\alpha}^I. A'' \hookrightarrow B''$ ,
- $\text{op} \in \epsilon'$ ,
- $\epsilon' \subseteq \epsilon$ ,
- $\Delta \vdash \forall \boldsymbol{\beta}^J. \mathbf{C}^I$ ,
- $\Delta, \boldsymbol{\beta}^J; \text{none} \vdash v : A''[\mathbf{C}^I/\boldsymbol{\alpha}^I] \mid \epsilon'$ , and
- $\Delta \vdash E^{\boldsymbol{\beta}^J} : \forall \boldsymbol{\beta}^J. B''[\mathbf{C}^I/\boldsymbol{\alpha}^I] \multimap A'[\mathbf{C'}^{I'}/\boldsymbol{\gamma}^{I'}] \mid \epsilon'$ .

By (TE\\_WEAK) and (TE\\_OP),

$$\Delta \vdash \#\text{op}(\mathbf{C'}^{I'}, E^{\boldsymbol{\beta}^J}) : \forall \boldsymbol{\beta}^J. B''[\mathbf{C}^I/\boldsymbol{\alpha}^I] \multimap B'[\mathbf{C'}^{I'}/\boldsymbol{\gamma}^{I'}] \mid \epsilon.$$

By (T\\_WEAK),

$$\Delta, \boldsymbol{\beta}^J; \text{none} \vdash v : A''[\mathbf{C}^I/\boldsymbol{\alpha}^I] \mid \epsilon.$$

By (T\\_OPCONT), we have the conclusion.

Case (T\\_OPCONT): Contradictory.

Case (T\\_WEAK): By the IH and (T\\_WEAK).

Case (T\\_HANDLE): We have three reduction rules which can be applied to handler expressions.

Case (R\\_RETURN): We are given

- $e_1 = \text{handle } v \text{ with } h$ ,
- $h^{\text{return}} = \text{return } x \rightarrow e$ ,
- $e_2 = e[v/x]$ ,
- $\Delta; \text{none} \vdash \text{handle } v \text{ with } h : A \mid \epsilon$ ,
- $\Delta; \text{none} \vdash v : B \mid \epsilon'$ , and
- $\Delta; \text{none} \vdash h : B \mid \epsilon' \Rightarrow A \mid \epsilon$ .

By Lemma 15,  $\Gamma, x : B; \text{none} \vdash e : A \mid \epsilon$ . By Lemma 9, we finish.

Case (R\\_HANDLE): By Lemmas 15 and 14, we are given

- $e_1 = \text{handle } \#\text{op}(\forall \boldsymbol{\beta}^J. \mathbf{C}^I, \Lambda \boldsymbol{\beta}^J.v, E^{\boldsymbol{\beta}^J}) \text{ with } h$ ,
- $h^{\text{op}} = \Lambda \boldsymbol{\alpha}^I. \text{op}(x) \rightarrow e$ ,
- $e_2 = e[\text{handle } E^{\boldsymbol{\beta}^J} \text{ with } h/\text{resume}]_{\Lambda \boldsymbol{\beta}^J.v}^{\forall \boldsymbol{\beta}^J. \mathbf{C}^I} [\mathbf{C}[\perp^J/\boldsymbol{\beta}^J]^I/\boldsymbol{\alpha}^I][v[\perp^J/\boldsymbol{\beta}^J]/x]$ ,
- $\Delta; \text{none} \vdash \text{handle } \#\text{op}(\forall \boldsymbol{\beta}^J. \mathbf{C}^I, \Lambda \boldsymbol{\beta}^J.v, E^{\boldsymbol{\beta}^J}) \text{ with } h : A \mid \epsilon$ ,

- $\Delta; \text{none} \vdash h : B \mid \epsilon' \Rightarrow A \mid \epsilon$ ,
- $\Delta; \text{none} \vdash \#\text{op}(\forall \beta^J.C^I, \Lambda \beta^J.v, E^{\beta^J}) : B \mid \epsilon'$ ,
- $ty(\text{op}) = \forall \alpha^I.A' \hookrightarrow B'$ ,
- $\Delta, \alpha^I, x : A'; (\alpha^I, A', B' \rightarrow \epsilon A) \vdash e : A \mid \epsilon$ ,
- $\text{op} \in \epsilon''$ ,
- $\epsilon'' \subseteq \epsilon'$ ,
- $\Delta \vdash \forall \beta^J.C^I$ ,
- $\Delta, \beta^J; \text{none} \vdash v : A'[C^I/\alpha^I] \mid \epsilon''$ , and
- $\Delta \vdash E^{\beta^J} : \forall \beta^J.B'[C^I/\alpha^I] \multimap B \mid \epsilon''$ .

Since  $\Delta \vdash E^{\beta^J} : \forall \beta^J.B'[C^I/\alpha^I] \multimap B \mid \epsilon''$  and  $\Delta; \text{none} \vdash h : B \mid \epsilon' \Rightarrow A \mid \epsilon$ , we have

$$\Delta, \alpha^I, x : A' \vdash \text{handle } E^{\beta^J} \text{ with } h : \forall \beta^J.B'[C^I/\alpha^I] \multimap A \mid \epsilon \quad (3)$$

by (TE\\_WEAK), (TE\\_HANDLE), and weakening (Lemmas 4 and 2). Since  $\Delta, \beta^J; \text{none} \vdash v : A'[C^I/\alpha^I] \mid \epsilon''$ , we have

$$\Delta, \alpha^I, x : A', \beta^J \vdash v : A'[C^I/\alpha^I] \quad (4)$$

by weakening. Since  $\Delta \vdash \forall \beta^J.C^I$ , we have

$$\Delta, \alpha^I, x : A' \vdash \forall \beta^J.C^I. \quad (5)$$

Since  $\Delta, \alpha^I, x : A'; (\alpha^I, A', B' \rightarrow \epsilon A) \vdash e : A \mid \epsilon$ , we have

$$\Delta, \alpha^I, x : A'; \text{none} \vdash e[\text{handle } E^{\beta^J} \text{ with } h/\text{resume}]_{\Lambda \beta^J.v}^{\forall \beta^J.C^I} : A \mid \epsilon$$

by Lemma 11 with (3), (4), and (5). Since  $\Delta \vdash \forall \beta^J.C^I$ , we have  $\Delta \vdash C[\perp^J/\beta^J]^I$ . Thus,

$$\begin{aligned} &\Delta, x : A' [C[\perp^J/\beta^J]^I/\alpha^I]; \text{none} \\ &\vdash e[\text{handle } E^{\beta^J} \text{ with } h/\text{resume}]_{\Lambda \beta^J.v}^{\forall \beta^J.C^I} [C[\perp^J/\beta^J]^I/\alpha^I] : A \mid \epsilon \end{aligned}$$

by Lemma 5, where note that  $A[C[\perp^J/\beta^J]^I/\alpha^I] = A$  because  $\Delta \vdash A$  by Lemma 19. Since  $\Delta, \beta^J; \text{none} \vdash v : A'[C^I/\alpha^I] \mid \epsilon''$ , we have

$$\Delta \vdash v[\perp^J/\beta^J] : A'[C[\perp^J/\beta^J]^I/\alpha^I]$$

by Lemma 5, where note that  $\beta^J$  do not occur free in  $A'$  since  $A'$  is the argument type of op. By Lemma 9,

$$\begin{aligned} &\Delta; \text{none} \\ &\vdash e[\text{handle } E^{\beta^J} \text{ with } h/\text{resume}]_{\Lambda \beta^J.v}^{\forall \beta^J.C^I} [C[\perp^J/\beta^J]^I/\alpha^I] v[\perp^J/\beta^J] : A \mid \epsilon, \end{aligned}$$

which is what we have to show.

Case (R\\_OPHANDLE): By Lemma 14, we are given

- $e_1 = \text{handle } \#\text{op}(\forall \beta^J.C^I, \Lambda \beta^J.v, E^{\beta^J}) \text{ with } h$ ,
- $e_2 = \#\text{op}(\forall \beta^J.C^I, \Lambda \beta^J.v, \text{handle } E^{\beta^J} \text{ with } h)$ ,
- $\text{op} \notin \text{ops}(h)$ ,
- $\Delta; \text{none} \vdash \text{handle } \#\text{op}(\forall \beta^J.C^I, \Lambda \beta^J.v, E^{\beta^J}) \text{ with } h : A \mid \epsilon$ ,
- $\Delta; \text{none} \vdash \#\text{op}(\forall \beta^J.C^I, \Lambda \beta^J.v, E^{\beta^J}) : B \mid \epsilon'$ ,
- $\Delta; \text{none} \vdash h : B \mid \epsilon' \Rightarrow A \mid \epsilon$ ,
- $\epsilon'' \subseteq \epsilon'$ ,
- $ty(\text{op}) = \forall \alpha^I.A' \hookrightarrow B'$ ,
- $\text{op} \in \epsilon''$ ,
- $\Delta \vdash \forall \beta^J.C^I$ ,

- $\Delta, \beta^J; \text{none} \vdash v : A'[\mathbf{C}^I/\alpha^I] | \epsilon'',$  and
- $\Delta \vdash E^{\beta^J} : \forall \beta^J.(B'[\mathbf{C}^I/\alpha^I]) \multimap B | \epsilon''.$

By (TE\\_WEAK) and (TE\\_HANDLE),

$$\Delta \vdash \text{handle } E^{\beta^J} \text{ with } h : \forall \beta^J.(B'[\mathbf{C}^I/\alpha^I]) \multimap A | \epsilon.$$

Since  $\Delta, \beta^J; \text{none} \vdash v : A'[\mathbf{C}^I/\alpha^I] | \epsilon'',$  we have

$$\Delta, \beta^J; \text{none} \vdash v : A'[\mathbf{C}^I/\alpha^I] | \epsilon$$

by Lemma 6. Since  $\text{op} \in \epsilon'' \subseteq \epsilon'$  and  $\Delta; \text{none} \vdash h : B | \epsilon' \Rightarrow A | \epsilon$  and  $\text{op} \notin \text{ops}(h),$  we have  $\text{op} \in \epsilon$  by Lemma 17. Thus, we finish by (T\\_OPCONT).

Case (T\\_RESUME): Contradictory.

Case (T\\_LET): We have two reduction rules which can be applied to let expressions.

Case (R\\_LET): We are given

- $e_1 = \text{let } x = \Lambda \alpha.v \text{ in } e,$
- $e_2 = e[\Lambda \alpha.v/x],$
- $\Delta; \text{none} \vdash \text{let } x = \Lambda \alpha.v \text{ in } e : A | \epsilon,$
- $\Delta, \alpha; \text{none} \vdash v : B | \epsilon,$  and
- $\Delta, x : \forall \alpha.B; \text{none} \vdash e : A | \epsilon.$

We have the conclusion by Lemma 9.

Case (R\\_OPLET): By Lemma 14, we are given

- $e_1 = \text{let } x = \Lambda \alpha^I.\#\text{op}(\forall \beta^J.\mathbf{C}^{I'}, \Lambda \beta^J.v, E^{\beta^J}) \text{ in } e,$
- $e_2 = \#\text{op}(\forall \alpha^I.\forall \beta^J.\mathbf{C}^{I'}, \Lambda \alpha^I.\Lambda \beta^J.v, \text{let } x = \Lambda \alpha^I.E^{\beta^J} \text{ in } e),$
- $\Delta; \text{none} \vdash \text{let } x = \Lambda \alpha^I.\#\text{op}(\forall \beta^J.\mathbf{C}^{I'}, \Lambda \beta^J.v, E^{\beta^J}) \text{ in } e : A | \epsilon,$
- $\Delta, \alpha^I; \text{none} \vdash \#\text{op}(\forall \beta^J.\mathbf{C}^{I'}, \Lambda \beta^J.v, E^{\beta^J}) : B | \epsilon,$
- $\Delta, x : \forall \alpha^I.B; \text{none} \vdash e : A | \epsilon,$
- $\epsilon' \subseteq \epsilon,$
- $\text{ty}(\text{op}) = \forall \gamma^{I'}.\mathbf{A}' \hookrightarrow \mathbf{B}',$
- $\text{op} \in \epsilon',$
- $\Delta, \alpha^I \vdash \forall \beta^J.\mathbf{C}^{I'},$
- $\Delta, \alpha^I, \beta^J; \text{none} \vdash v : A'[\mathbf{C}^{I'}/\gamma^{I'}] | \epsilon',$  and
- $\Delta, \alpha^I \vdash E^{\beta^J} : \forall \beta^J.(B'[\mathbf{C}^{I'}/\gamma^{I'}]) \multimap B | \epsilon'.$

By (TE\\_WEAK) and (TE\\_LET),

$$\Delta \vdash \text{let } x = \Lambda \alpha^I.E^{\beta^J} \text{ in } e : \forall \alpha^I.\forall \beta^J.(B'[\mathbf{C}^{I'}/\gamma^{I'}]) \multimap A | \epsilon.$$

Since  $\Delta, \alpha^I \vdash \forall \beta^J.\mathbf{C}^{I'},$

$$\Delta \vdash \forall \alpha^I.\forall \beta^J.\mathbf{C}^{I'}.$$

Thus, by (T\\_OPCONT), we have the conclusion.

2. Suppose that  $\Delta; \text{none} \vdash e_1 : A | \epsilon$  and  $e_1 \rightarrow e_2.$  By definition, there exists some  $E,$   $e'_1,$  and  $e'_2$  such that  $e_1 = E[e'_1],$   $e_2 = E[e'_2],$  and  $e'_1 \rightsquigarrow e'_2.$  By induction on the derivation of  $\Delta; \text{none} \vdash E[e'_1] : A | \epsilon.$  If  $E = []$ , then we have the conclusion by the first case. In what follows, we suppose that  $E \neq [].$  By case analysis on the typing rule applied last to derive  $\Delta; \text{none} \vdash E[e'_1] : A | \epsilon.$

Case (T\\_VAR), (T\\_CONST), (T\\_ABS), (T\\_OPCONT), and (T\\_RESUME): It is contradictory because  $E = [].$

Case (T\\_APP): By case analysis on  $E.$

Case  $E = E' e:$  We are given

- $\Delta; \text{none} \vdash E'[e'_1] : B \rightarrow \epsilon' A | \epsilon$ ,
- $\Delta; \text{none} \vdash e : B | \epsilon$ , and
- $\epsilon' \subseteq \epsilon$

for some  $B$  and  $\epsilon'$ . By the IH,  $\Delta; \text{none} \vdash E'[e'_2] : B \rightarrow \epsilon' A | \epsilon$ . By (T\_APP), we finish.

Case  $E = v E'$ : By the IH.

Case (T\_OP): By the IH.

Case (T\_WEAK): By the IH.

Case (T\_HANDLE): By the IH.

Case (T LET): By the IH.

□

**Theorem 1** (Type Soundness of  $\lambda_{\text{eff}}^{\Lambda}$ ). *If  $\Delta; \text{none} \vdash e : A | \epsilon$  and  $e \longrightarrow^* e'$  and  $e' \not\rightarrow$ , then (1)  $e'$  is a value or (2)  $e' = \#\text{op}(\sigma, w, E)$  for some  $\text{op} \in \epsilon$ ,  $\sigma$ ,  $w$ , and  $E$ .*

*Proof.* By Lemma 20,  $\Delta; \text{none} \vdash e' : A | \epsilon$ . We have the conclusion by Lemma 18. □

## 2.2 Elaboration is type-preserving

**Definition 14.** *Elaboration  $\Gamma \triangleright^S \Gamma'$  of  $\Gamma$  to  $\Gamma'$  with  $S$  is the least relation that satisfies the following rules.*

$$\begin{array}{c} \frac{}{\emptyset \triangleright^S \emptyset} \quad \text{ELABG\_EMPTY} & \frac{\Gamma \triangleright^S \Gamma'}{\Gamma, x : \sigma \triangleright^S \Gamma', S(x) : \sigma} \quad \text{ELABG\_VAR} \\ \frac{\Gamma \triangleright^S \Gamma'}{\Gamma, \alpha \triangleright^S \Gamma', \alpha} \quad \text{ELABG\_TYVAR} \end{array}$$

**Definition 15.** *Elaboration  $R \triangleright r$  of  $R$  to  $r$  is defined as follows.*

$$\text{none} \triangleright \text{none} \quad (\alpha, x : A, B \rightarrow \epsilon C) \triangleright (\alpha, A, B \rightarrow \epsilon C)$$

**Lemma 21.** *If  $\Gamma \triangleright^S \Gamma'$ , then, for any  $x : \sigma \in \Gamma$ ,  $S(x) : \sigma \in \Gamma'$ .*

*Proof.* By induction on the derivation of  $\Gamma \triangleright^S \Gamma'$ . □

**Lemma 22.** *If  $\Gamma \triangleright^S \Gamma'$ , then, for any  $\alpha \in \Gamma$  if and only if  $\alpha \in \Gamma'$ .*

*Proof.* By induction on the derivation of  $\Gamma \triangleright^S \Gamma'$ . □

**Lemma 23.**

1. If  $\Gamma; R \vdash M : A | \epsilon$ , then  $\vdash \Gamma$ .
2. If  $\Gamma; R \vdash H : A | \epsilon \Rightarrow B | \epsilon'$ , then  $\vdash \Gamma$ .

*Proof.* Straightforward by induction on the typing derivations. □

**Lemma 24.** *If  $\Gamma_1, x : \sigma, \Gamma_2 \triangleright^S \Gamma'$ , then  $\Gamma' = \Gamma'_1, S(x) : \sigma, \Gamma'_2$  and  $\Gamma_1, \Gamma_2 \triangleright^S \Gamma'_1, \Gamma'_2$  for some  $\Gamma'_1$  and  $\Gamma'_2$ .*

*Proof.* Straightforward by induction on  $\Gamma_2$ . □

**Lemma 25.** *Suppose that  $R \triangleright r$  and  $\Gamma \triangleright^S \Gamma'$  and  $\vdash \Gamma'$ .*

1. If  $\Gamma; R \vdash M : A | \epsilon$ , then there exists some  $e$  such that  $\Gamma; R \vdash M : A | \epsilon \triangleright^S e$  and  $\Gamma'; r \vdash e : A | \epsilon$ .
2. If  $\Gamma; R \vdash H : A | \epsilon \Rightarrow B | \epsilon'$ , then there exists some  $h$  such that  $\Gamma; R \vdash H : A | \epsilon \Rightarrow B | \epsilon' \triangleright^S h$  and  $\Gamma'; r \vdash h : A | \epsilon \Rightarrow B | \epsilon'$ .

*Proof.* By mutual induction on the typing derivations.

1. By case analysis on the typing rule applied last.

Case (TS\_VAR): We are given  $\Gamma; R \vdash x : B[\mathbf{C}/\alpha] | \epsilon$  and, by inversion,  $\vdash \Gamma$  and  $x : \forall \alpha. B \in \Gamma$  and  $\Gamma \vdash \mathbf{C}$ . By Lemma 21,  $S(x) : \forall \alpha. B \in \Gamma'$ . Thus,  $S(x)$  is defined, so

$$\Gamma; R \vdash x : B[\mathbf{C}/\alpha] | \epsilon \triangleright^S S(x) \mathbf{C}$$

by (ELAB\_VAR). By Lemma 22,  $\Gamma' \vdash \mathbf{C}$ . By (T\_VAR), we finish.

Case (TS\_CONST): By (ELAB\_CONST) and (T\_CONST).

Case (TS\_ABS): We are given  $\Gamma; R \vdash \lambda x. M' : B \rightarrow \epsilon' C | \epsilon$  and, by inversion,  $\Gamma, x : B; R \vdash M' : C | \epsilon'$ . Without loss of generality, we can suppose that  $x$  does not occur in  $S$  and  $\Gamma'$ . Since  $\Gamma \triangleright^S \Gamma'$ , we have  $\Gamma, x : B \triangleright^{S \circ \{x \mapsto x\}} \Gamma, x : B$  by (ELABG\_VAR). By Lemma 23,  $\vdash \Gamma, x : B$ . Thus,  $\Gamma \vdash B$ . By Lemma 22,  $\Gamma' \vdash B$ . Thus, by (WF\_VAR),  $\vdash \Gamma', x : B$ . By the IH,  $\Gamma, x : B; R \vdash M' : C | \epsilon' \triangleright^{S \circ \{x \mapsto x\}} e'$  for some  $e'$  such that  $\Gamma', x : B; r \vdash e' : C | \epsilon'$ . By (ELAB\_ABS) and (T\_ABS), we finish.

Case (TS\_APP): By the IHs, (ELAB\_APP), and (T\_APP).

Case (TS\_OP): By the IH, (ELAB\_OP), and (T\_OP) with Lemma 22.

Case (TS LET): Similar to (TS\_ABS).

Case (TS\_WEAK): By the IH, (ELAB\_WEAK), and (T\_WEAK).

Case (TS\_HANDLE): By the IH, (ELAB\_HANDLE), and (T\_HANDLE).

Case (TS\_RESUME): We are given  $\Gamma_1, x : D, \Gamma_2; (\alpha, x : B, C \rightarrow \epsilon' A) \vdash \text{resume } M' : A | \epsilon$  and, by inversion,

- $\vdash \Gamma_1, x : D, \Gamma_2$ ,
- $\alpha \in \Gamma_1$ ,
- $\epsilon' \subseteq \epsilon$ , and
- $\Gamma_1, \Gamma_2, \beta, x : B[\beta/\alpha]; (\alpha, x : B, C \rightarrow \epsilon' A) \vdash M' : C[\beta/\alpha] | \epsilon$ .

Let  $y$  be a fresh variable. Since  $\Gamma_1, x : D, \Gamma_2 \triangleright^S \Gamma'$ , there exist some  $\Gamma'_1$  and  $\Gamma'_2$  such that  $\Gamma' = \Gamma'_1, S(x) : D, \Gamma'_2$  and  $\Gamma_1, \Gamma_2 \triangleright^S \Gamma'_1, \Gamma'_2$  by Lemma 24. Since  $\vdash \Gamma_1, x : D, \Gamma_2, x \notin \text{dom}(\Gamma_1, \Gamma_2)$ . Thus,  $\Gamma_1, \Gamma_2 \triangleright^{S \circ \{x \mapsto y\}} \Gamma'_1, \Gamma'_2$ . By (ELABG\_VAR) and (ELABG\_TYVAR),  $\Gamma_1, \Gamma_2, \beta, x : B[\beta/\alpha] \triangleright^{S \circ \{x \mapsto y\}} \Gamma'_1, \Gamma'_2, \beta, y : B[\beta/\alpha]$ . Since  $\vdash \Gamma'_1, S(x) : D, \Gamma'_2$ , we have  $\vdash \Gamma'_1, \Gamma'_2, \beta, y : B[\beta/\alpha]$ . by Lemmas 8, 23, 22, and 1. Thus, by the IH,

$$\Gamma_1, \Gamma_2, \beta, x : B[\beta/\alpha]; (\alpha, x : B, C \rightarrow \epsilon' A) \vdash M' : C[\beta/\alpha] | \epsilon \triangleright^{S \circ \{x \mapsto y\}} e'$$

for some  $e'$  such that  $\Gamma'_1, \Gamma'_2, \beta, y : B[\beta/\alpha]; r \vdash e' : C[\beta/\alpha] | \epsilon$ . By applying (ELAB\_RESUME),

$$\Gamma_1, x : D, \Gamma_2; (\alpha, x : B, C \rightarrow \epsilon' A) \vdash \text{resume } M' : A | \epsilon \triangleright^S \text{resume } \beta y. e'.$$

Since  $\Gamma'_1, S(x) : D, \Gamma'_2, \beta, y : B[\beta/\alpha]; r \vdash e' : C[\beta/\alpha] | \epsilon$  by Lemma 2 and  $\alpha \in \Gamma'_1, S(x) : D, \Gamma'_2$  by Lemma 22, we have

$$\Gamma'_1, S(x) : D, \Gamma'_2; r \vdash \text{resume } \beta y. e' : A | \epsilon$$

by (T\_RESUME).

2. By case analysis on the typing rule applied last.

Case (THS\_RETURN): Similar to (TS\_ABS).

Case (THS\_OP): Similar to (TS\_ABS).

□

**Theorem 2** (Elaboration is type-preserving). *If  $M$  is a well-typed program of  $A$ , then  $\emptyset; \text{none} \vdash M : A | \langle \rangle \triangleright^\emptyset e$  and  $\emptyset; \text{none} \vdash e : A | \langle \rangle$  for some  $e$ .*

*Proof.* By Lemma 25. □